IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Jones et al/
SERIAL NO.:	10/677,838
FILED:	October 2, 2003
ATTY. DOCKET:	006878.116901
TITLE:	METHODS SYSTEMS AND SECURITIES FOR ASSURING A COMPANY AN OPPORTUNITY TO SELL STOCK AFTER A SPECIFIED TIME

ELECTRONIC MAIL CERTIFICATE

Date of Deposit: January 29, 2007

I hereby certify that the following attached paper(s) and/or fee

(1) Transmittal Form
(2) Information Disclosure Statement (1pg)
(3) SB/08A (2 pgs) and SB/08B (1 pg) with 6 non-patent lit docs.

are being transmitted electronically to the United States Patent Office on the date indicated above.

Respectfully submitted,
GREENBERG TRAURIG, LLP

Dated: January 30, 2007

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/677,838
Filing Date	October 2, 2003
First Named Inventor	Jones
Art Unit	3694
Examiner Name	Trammell
Attorney Docket Number	006878.116901

ENCLOSURES *(Check all that apply)*

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☑ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/ Incomplete Application
  - ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
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  - ☐ Landscape Table on CD
- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC **(Appeal Notice, Brief, Reply Brief)**
- ☐ Proprietary Information
- ☐ Status Letter
- ☑ Other Enclosure(s) (please Identify below):
  6 non-patent cited documents

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Greenberg Traurig LLP		
Signature			
Printed name	Barry J. Schindler		
Date	January 30, 2007	Reg. No.	32,938

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